

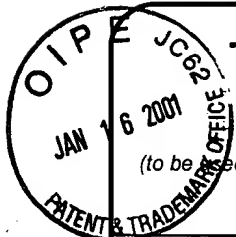
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/663,111		
	Filing Date	September 15, 2000	
	First Named Inventor	Charles J. Davidson	
	Group Art Unit		
Examiner Name			
Total Number of Pages in This Submission		Attorney Docket Number	019601-000320US

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Declaration Verified Statement Claiming Small Entity Status Power of Attorney</b>
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Darin J. Gibby
Signature	
Date	1/10/01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>January 10, 2001</u>		
Typed or printed name	Connie Larson	
Signature		Date <u>January 10, 2001</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
DE 7030855 v1

Sector #

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001		Complete if Known		
<i>Patent fees are subject to annual revision.</i>		Application Number	09/663,111	
		Filing Date	September 15, 2000	
		First Named Inventor	Charles J. Davidson	
		Examiner Name		
		Group / Art Unit		
TOTAL AMOUNT OF PAYMENT	(\$)	609	Attorney Docket No.	019601-000320US

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES					
Deposit Account Number	20-1430	Fee Code	Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name	Townsend and Townsend and Crew LLP	105	130	205	65	Surcharge - late filing fee or oath	65
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		139	130	139	130	Non-English specification	
2. <input type="checkbox"/> Payment Enclosed:		147	2,520	147	2,520	For filing a request for reexamination	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE		115	110	215	55	Extension for reply within first month	
Large Entity Fee Code	Fee (\$)	116	390	216	195	Extension for reply within second month	
Small Entity Fee Code	Fee (\$)	117	890	217	445	Extension for reply within third month	
Fee Description	Fee Paid	118	1,390	218	695	Extension for reply within fourth month	
101	710	201	355	Utility filing fee	355	Extension for reply within fifth month	
106	320	206	160	Design filing fee		Notice of Appeal	
107	490	207	245	Plant filing fee		Filing a brief in support of an appeal	
108	710	208	355	Reissue filing fee		Request for oral hearing	
114	150	214	75	Provisional filing fee		Petition to institute a public use proceeding	
SUBTOTAL (1)		140	110	240	55	Petition to revive - unavoidable	
		141	1,240	241	620	Petition to revive - unintentional	
2. EXTRA CLAIM FEES		142	1,240	242	620	Utility issue fee (or reissue)	
Total Claims	41	143	440	243	220	Design issue fee	
Independent Claims	3	144	600	244	300	Plant issue fee	
Multiple Dependent		122	130	122	130	Petitions to the Commissioner	
Extra Claims	21	123	130	123	130	Petitions related to provisional applications	
Fee from below	9	126	180	126	180	Submission of Information Disclosure Stmt	
Fee Paid	189	581	40	581	40	Recording each patent assignment per property (times number of properties)	
0	0	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
0	0	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
0	0	179	710	279	355	Request for Continued Examination (RCE)	
0	0	169	900	169	900	Request for expedited examination of a design application	
SUBTOTAL (2)		Other fee (specify) _____		SUBTOTAL (3)		(\$)	
(\$)				(\$)		65	
**or number previously paid, if greater. For Reissues, see above							

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Darin J. Gibby	Registration No. Attorney/Agent	38,464	Telephone	(303) 571-4000
Signature		Date	1/10/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. (DE 7030173 v1)

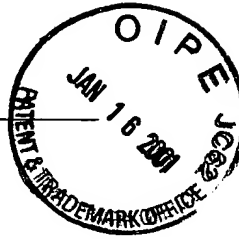
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT  
Attorney Docket No.: 019601-000320US

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

On January 10, 2001  
TOWNSEND and TOWNSEND and CREW LLP

By: Connie Larson



*Handwritten initials: HJ*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Charles J. Davidson et al.

Application No.: 09/663,111

Filed: September 15, 2000

For: CATHETER WITH SIDE SHEATH  
AND METHODS

Examiner:

Art Unit:

TRANSMITTAL LETTER –  
RESPONSE TO NOTICE OF MISSING  
PARTS

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated November 13, 2000, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney
- 3) Verified Statement Claiming Small Entity Status
- 4) Copy of Notice of Missing Parts

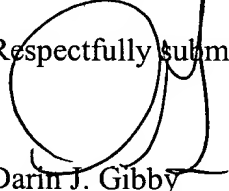
Please charge Deposit Account No. 20-1430 for the following fees:

Small Entity:	(a) Filing Fee (§ 1.16(a)) (Small Entity)	\$355.00
	(b) Excess Claims Fees (§ 1.16(b), (c)):	

41 - 20 = 21	x \$9.00 =	\$189.00
3 - 3 = 0	x \$40.00 =	\$0.00
(c) Missing Parts Surcharge		\$65.00
<b>TOTAL FEES TO BE CHARGED</b>		<b>\$609.00</b>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

  
Darin J. Gibby  
Reg. No. 38,464

**Customer No. 20350**

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 303-571-4000  
Fax: 303-571-4321  
DJG:cll



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/663,111	09/15/2000	Charles J. Davidson	19601-000320US

20350  
TOWNSEND AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

## FORMALITIES LETTER



\*OC000000005547589\*

Date Mailed: 11/13/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$378.
  - \$378 for 21 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1198.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/10/2001 00000095 201430 09663111

01/10/2001 355.00 CH  
02/10/2001 105.00 CH  
03/10/2001 65.00 CH

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11/10/00